JINDAL SOUTH WEST HOLDINGS LIMITED

SHAREHOLDING PATTERN AS ON 31.03.2013

(I) (a) Statement showing Shareholding Pattern

SCRIP C	ODE : 532642 Name of Scrip : JSWHL Class of Security : Equity Quarte	r Ended : 31.03	3.2013	
Cate-		Number of	As a % of total	As a % of total
gory	Partly paid up shares	partly paid	number of partly	number of shares
Code		up shares	paid up shares	of the Company
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
1		Number of	As a % of total	As a % of total
	Outstanding Convertible Securities	outstanding	No. of outstanding	No. of shares of the
		Securities	Con. Securities	Company, assuming
				full conversion of the
				Securities
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
		Number of	As a % of total	As a % of total
		warrants	number of warrants	number of shares
	<u>Warrants</u>			of the Company
				assuming full
				conversion of warrants
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
	Total paid up capital of the Company, assuming full	1,10,99,625	shares of Rs.10/- each	
	conversion of warrants and convertible securities		.11,09,96,250/-)	

Cate-		Number of	Total No.	Number of	Total sharel	=	Shares pledged or		
gory Code	Category of Shareholders	Share- holders	of shares	f shares shares held in demated		ge of total	otherwi Number of	se encumbered As a	
Code	Snarenoiders	noiders		in demated form	number of shares As a percentage of		Shares	AS a %	
				-	(A+B) ¹	(A+B+C)	0110100	,,,	
(1)	(11)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100	
(A)	Shareholding of Promoter								
	and Promoter Group ²								
(1)	Indian								
(a)	Individuals/H.U.F	22	27642	27642	0.25	0.25			
(b)	Cental/State Government(s)	0	0	0		0.00			
(c)	Bodies Corporate	18	6174794	6174794	55.63	55.63			
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00			
(e)	Any Other (specify)	0	0	0	0.00	0.00			
	Employee Welfare Trust M&M Benfit Trust	0	0	0	0.00	0.00			
	Sub-Total (A)(1)	40	6202436	6202436	55.88	55.88	N.A.	N.A.	
(2)	Foreign	40	0202430	0202430	33.00	33.00	N.A.	N.A.	
(2)									
(0)	Non Resident Individuals/Foreign Nationals								
(a) (b)	Bodies Corporate								
(c)	Institutions			+					
(d)	Any Other (specify)								
(4)	Sub_Total (A)(2)	0	0	0	0.00	0.00	N.A.	N.A	
	Total holding of Promoter and	,	Ţ		0.00	0.00			
	Promoter Group	40	6202436	6202436	55.88	55.88	N.A.	N.A	
	(A)=(A)(1)+(A)(2)	-1.0	0202 100	0202100	00.00	00.00	140,41		
	,,,,,,,,,								
(B)	Public Shareholding3								
(1)	Institutions								
(a)	Mutual Fund/UTI	15	4650	3789	0.04	0.04			
(b)	Financial Institutions/Banks	13	36688	36070	0.33	0.33			
(c)	Central/State Government(s)	0	0	0	0.00	0.00			
(d)	Venture Capital Funds	0	0	0	0.00	0.00			
(e)	Insurance Companies	1	107172	107172	0.97	0.97			
(f)	Foreign Institutional Investors	28	753595	752333	6.79	6.79			
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0.00			
	Sub-Total (B)(1)	57	902105	899364	8.13	8.13	N.A.	N.A	
(2)	Non Institutions	4000	070500	069277	9.76	9.76			
(a)	Bodies Corporate	1003	972529	968377	8.76	8.76			
(b)	Individuals i) Holding nominal share capital								
	upto Rs. 1 lakh	33564	2440061	2229882	21.98	21.98			
	ii) Holding nominal share capital	33304	2440001	2223002	21.50	21.50			
	in excess of Rs. 1 lakh	14	361948	361948	3.26	3.26			
(c)	Any Other(specify) Individual		22.0.0			2.40			
	Non-Resident Individual	1430	209529	171654	1.89	1.89			
	Foreign National	0	0	0	0.00	0.00			
	Overseas Corporate Bodies	2	10025	10000	0.09	0.09			
	Foreign Bodies	0	0	0	0.00	0.00			
	Trust	3	992	992	0.01	0.01			
	Clearing Member	0	0	0	0.00	0.00			
	Sub-Total (B)(2)	36016	3995084	3742853	35.99	35.99	N.A.	N.A	
	Total Public shareholding								
	(B)=(B)(1)+(B)(2)	36073	4897189	4642217	44.12	44.12			
	TOTAL (A)+(B)	36113	11099625	10844653	100.00	100.00	N.A.	N.A	
(C)	Shares held by Custodians and								
	against which Depository								
	Receipts have been issued								
	ID ()	0	0	0	0.00	0.00	Ī	I	
2	Promoter and Promoter Group Public	0	0	0	0.00	0.00			

Sr.No.	Name of the shareholder	Details of S	hares held	Enc	umbered share	es(*)	Details o	of warrants		convertible urities	Total shares (including underlying
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub- clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
(1)	(11)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
_ ` /	Individuals	` /			,,,,	, ,	, ,	1 '			
	ABHYUDAY JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
2	AARTI JINDAL	250	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
3	DEEPIKA JINDAL	1356	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
4	NAVEEN JINDAL & SONS (HUF)	691	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
5	NAVEEN JINDAL	664	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
6	PARTH JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
	PRITHVI RAJ JINDAL	2097	0.02	0	0.00			NA	NA	NA	0.02
	PRITHVI RAJ JINDAL H U F	1122	0.01	0	0.00			NA	NA	NA	0.01
_	R.K. JINDAL & SONS HUF	3708	0.03	0	0.00			NA	NA	NA	0.03
	RATAN JINDAL	1470	0.01	0	0.00			NA	NA	NA	0.01
	SAJJAN JINDAL	2197	0.02	0	0.00			NA	NA	NA	0.02
	S K JINDAL AND SONS HUF	1447	0.01	0	0.00			NA	NA	NA	0.01
	SANGITA JINDAL	1181	0.01	0	0.00	0.00		NA	NA	NA	0.01
	SAROJ BHARTIA	34	0.00	0	0.00			NA	NA	NA	0.00
	SAVITRI DEVI JINDAL	1863	0.02	0	0.00			NA	NA	NA	0.02
	SHRADHA JATIA	1257	0.01	0	0.00			NA	NA	NA	0.01
	SMINU JINDAL	1381	0.01	0	0.00			NA	NA	NA	0.01
	TANVI JINDAL	500	0.00	0	0.00			NA	NA	NA	0.00
	TARINI JINDAL	1256	0.01	0	0.00			NA	NA	NA	0.01
	TRIPTI JINDAL	1256	0.01	0	0.00			NA	NA	NA	0.01
	URMILA BHUWALKA	900	0.01	0	0.00			NA	NA	NA	0.01
22	URVI JINDAL	1256	0.01	0	0.00			NA	NA	NA	0.01
	Sub - Total (a)	27642	0.25	0	0.00	0.00	NA	NA	NA	NA	0.25

Contd..

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group" (contd)

Sr.No.	Name of the shareholder	Details of S	hares held	Enc	umbered share	es(*)	Details of	warrants		convertible urities	Total shares (including underlying
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub- clause (I)(a)	held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
					(VI) =						
(1)	(11)	(III)	(IV)	(V)	(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
	Abhinandan Investments Ltd	143262	1.29	0	0.00			NA	NA	NA	1.29
	Colorado Trading Company Ltd	227060	2.05	0	0.00			NA	NA	NA	2.05
	Gagan Trading Company Ltd.	538137	4.85	0	0.00	0.00	NA	NA	NA	NA	4.85
	Jindal Equipment Leasing &										
	Consultancy Services Ltd	419584	3.78	0	0.00			NA	NA	NA	3.78
	Nalwa Sons Investments Ltd	75	0.00	0	0.00			NA	NA	NA	0.00
	Jindal Holdings Ltd	132095	1.19	0				NA	NA	NA	1.19
	Mansarover Investments Ltd	267637	2.41	0	0.00			NA	NA	NA	2.41
_	Meredith Traders Pvt. Ltd.	3075	0.03	0	0.00			NA	NA	NA	0.03
9	Nalwa Investments Ltd	273587	2.46	0	0.00			NA	NA	NA	2.46
10	Nalwa Sons Investments Ltd	1137043	10.24	0	0.00	0.00	NA	NA	NA	NA	10.24
11	Reynold Traders Pvt. Ltd	320500	2.89		0.00	0.00	NA	NA	NA	NA	2.89
	Rishikesh Finlease & Investments Pvt.										
	Ltd.	1500	0.01	0	0.00			NA	NA	NA	0.01
	Stainless Investments Ltd	182734	1.65	0				NA	NA	NA	1.65
	Sun Investments Pvt. Ltd.	365407	3.29	0				NA	NA	NA	3.29
	Vrindavan Services Pvt. Ltd.	642400	5.79	0	0.00			NA	NA	NA	5.79
	Hexa Securities & Finance Co Ltd	94214	0.85	0				NA	NA	NA	0.85
	Hexa Tradex Limited	334	0.00	0				NA	NA	NA	0.00
18	Groovy Trading Private Limited	1426150	12.85	0	0.00	0.00	NA	NA	NA	NA	12.85
	Sub-Total (b)	6174794	55.63	0	0.00	0.00	NA	NA	NA	NA	55.63
	Grand Total	6202436	55.88	0	0.00	0.00	NA	NA	NA	NA	55.88

^(*) The term "encumbrance" has the same meaning as assigned to it, in regulation 28(3) of the SAST Regulations , 2011

(I)(c)(i) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at	Details of No of warrants held	warrants As a % of total number of warrants of the same class	Details of c Number of convertible securities held	As a % of total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a %
1	ACACIA PARTNERS LP		para (I)(a) above}					of diluted share capital
		219500	1.98	NA	NA	NA	NA	1.98
	ACACIA INSTITUTIONAL PARTNERS,LP	150000			NA	NA	NA	1.35
_	BARCLAYS CAPITAL MAURITIUS LIMITED	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					1 1 1	
		112140	1.01	NA	NA	NA	NA	1.01
	SOUND SECURITIES PRIVATE LIMITED							
		140000			NA	NA	NA	1.26
	TOTAL	621640	5.60	NA	NA	NA	NA	5.60

(I)(c)(ii)	Statement showing hol PAC) belonging to the	_						
Sr.No.	Name(s) of the	Number of	Shares as a	Details	of warrants	Details of	convertible securities	Total shares
	shareholder(s) and the Persons Acting in Concert (PAC) with them	shares	total number of	No of warrants held	warrants of the	convertible	As a % of total number of convertible securities of the same class	(including underlying shares assuming full conversion of warrants and
				NI	L			

(1) (d) Statement showing details of locked in shares

_	() ()	Graterine on Graning acc			
	Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares {i.e.Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above}	Promoter / Promoter Group / Public
ĺ				N.A.	
		TOTAL			

(II) (a)	Statement showing deta	ils of Depository Recei	pts (DRS)	
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs		Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}
			N.A.	
	TOTAL			

I	(II) (b)	Statement showing hold are in excess of 1% of the	•	eipts (DRs) where underlying shares hel	d by "promoter / promoter group"
	Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)		Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}
				N.A.	
I		TOTAL			

code	Category of shareholder	in each clas	Voting Righ		Total Voting Rights	Total Voting Rights i.e. (VI)		
					00.00.00	As a %	As a %	
(1)	(11)	Class X	Class Y	Class Z	(III+IV+V)	of (A+B)	of (A+B+C)	
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	
(A)	Promoter and Promoter Group						1	
(1) (a)	Individuals/Hindu Undivided Family							
(b)	Central/State Government(s)							
(c)	Bodies Corporate							
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
(-)	Sub-Total (A) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Foreign						1 1 1 1	
(a)	Individuals (Non Resident/Foreign)	+		 	<u> </u>			
(b)	Bodies Corporate	1						
(c)	Institutions			1		1		
(d)	Any Other (specify)							
. ,	Sub-Total (A)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	Total Shareholding of Promoter							
	and Promoter Group (A)=(A)(1) +							
	(A)(2)							
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds/UTI							
(b)	Financial Institutions/Banks							
(c)	Central/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies							
(f)	Foreign Institutional Investors							
(g)	Foreign Venture Capital Investors							
(h)	Any Other (specify)							
	Sub-Total (B) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Non Institutions							
(a)	Bodies Corporate							
(b)	Individuals							
	i. Individual shareholders holding							
	nominal share capital upto							
	Rs. 1 lakh							
	ii.Individual shareholders holding			ļ				
	nominal share capital in excess	_		-	<u> </u>	1	1	
(^ \	of Rs. 1 lakh.							
(c)	Any Other (specify)							
	Sub-Total (B) (2)							
	Total Public shareholding	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	(B)=(B)(1)+(B)(2)							
	TOTAL (A) + (B)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(C)	Shares held by Custodians and							
	against which Depository Receipts							
	GRAND TOTAL (A)+(B)+(C)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	